

UTILITY PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Ronald E. Sloan	
Serial No.:	09/976,443	
Filing Date:	October 12, 2001	Group Art Unit: 3628
Title:	AUTOMATED COACHING FOR A FINANCIAL MODELING AND COUNSELING SYSTEM	Examiner: T.M. Harbeck
Docket No:	60021-376002	

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

LETTER

Dear Sir:

Due to a typographical error on the facsimile transmission, on February 12, 2007, the Amendment and Response to Office Action of November 15, 2006 and Supplemental Information Disclosure Statement were filed with the United States Patent and Trademark Office under a wrong application number.

This Letter is a request to have the Amendment and Response to the Office Action and Supplemental Information Disclosure Statement placed with the appropriate application.

It came to our attention, upon review of our files, that this mistake had been made. Attached for your records are copies of the following:

- 1) Fax Cover Letter which shows the typographical error in the Application Serial Number, where the Application Serial Number is incorrectly identified as 09/927,560;
- 2) Fax Cover Letter Receipt date stamped by the USPTO on February 12, 2007, showing that the fax receipt was entered into the records for application serial number

09/927,560;

3) The first page of the image file wrapper for application serial number 09/927,560 showing that the Amendment and Response to Office Action and Supplemental Information Disclosure Statement filed on February 12, 2007 were inadvertently filed with the wrong application number;

4) A copy of the Amendment and Response to Office Action as filed on February 12, 2007; and

5) A copy of the Supplemental Information Disclosure Statement as filed on February 12, 2007.

Please file the attached Amendment and Response to Office Action and Supplemental Information Disclosure Statement with the appropriate application serial number.

If any fees are due in connection with the filing of this paper, then the Commissioner is authorized to charge such fees including fees for any extension of time, to Deposit Account No. 50-1901 (Docket 60021-376002).

Respectfully submitted,



Christopher R. Hilberg, Reg. No. 48,740  
**Customer No. 29838**

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## FAX COVER LETTER

February 12, 2007

**TO:** Examiner T.M. Harbeck  
**COMPANY:** Commissioner for Patents – Art Unit 3623  
**PHONE:** 571.272.8123  
**FAX:** 571.273.8300

**FROM:** Christopher R. Hilberg  
**DIRECT DIAL:** (612) 607-7237  
**EMAIL**: CHilberg@oppenheimer.com  
**RE:** U.S. Patent Application No. 09/927,560  
Attorney Docket No.: 60021-375502

## CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that an Amendment and Response to Office Action, Supplemental Information Disclosure Statement and PTO Form 1449 are being facsimile transmitted on the date shown below to the Commissioner for Patents.

*Debra J. Bruck*

Debra J. Bruck

*Feb. 12, 2007*

Date

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**FAX COVER LETTER**

February 12, 2007

TO: Examiner T.M. Harbeck  
COMPANY: Commissioner for Patents – Art Unit 3623  
PHONE: 571.272.8123  
FAX: 571.273.8300  
  
FROM: Christopher R. Hilberg  
DIRECT DIAL: (612) 607-7237  
EMAIL: CHilberg@oppenheimer.com  
RE: U.S. Patent Application No. 09/927,560  
Attorney Docket No.: 60021-375502

**CERTIFICATE OF FACSIMILE TRANSMISSION**

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Debra J. Bruck

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Originals: Not being sent

Completed by: Debra J. Bruck/7375

You should receive 15 page(s) including this page.  
If the transmission is incomplete, please call 612.607.7276 as soon as possible.

09/927,560	Financial modeling and counseling system	09-24-2007:14:55:36
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This application is officially maintained in electronic form. To View: Click the desired Document Description. To Download and Print: Check the desired document(s) and click Start Download.

### Available Documents

Mail Room Date	Document Code	Document Description	Document Category	Page Count
07-27-2007	RCEX	<b>Request for Continued Examination (RCE)</b>	PROSECUTION	2
07-27-2007	WFEE	Fee Worksheet (PTO-06)	PROSECUTION	1
07-27-2007	ANE.I	Amendment After Final or under 37CFR. 1.312, initiated by the examiner.	PROSECUTION	1
07-06-2007	CTAV	Advisory Action (PTOL-303)	PROSECUTION	3
06-18-2007	AMSB	Amendment Submitted/Entered with Filing of CPA/RCE	PROSECUTION	2
06-18-2007	CLM	Claims	PROSECUTION	6
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05-02-2007	CTFR	Final Rejection	PROSECUTION	10
05-02-2007	1449	List of References cited by applicant and considered by examiner	PRIOR ART	4
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05-02-2007	SRFW	Search information including classification, databases and other search related notes	PROSECUTION	1
05-02-2007	BIB	Bibliographic Data Sheet	PROSECUTION	1
03-07-2007	IDS	Information Disclosure Statement (IDS) Filed	PROSECUTION	5
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11-03-2006	IDS	Information Disclosure Statement (IDS) Filed	PROSECUTION	4
11-03-2006	FOR	Foreign Reference	PRIOR ART	57
11-03-2006	NPL	NPL Documents	PRIOR ART	3
09-19-2006	IDS	Information Disclosure Statement (IDS) Filed	PROSECUTION	5
08-25-2006	CTNF	Non-Final Rejection	PROSECUTION	13
08-25-2006	892	List of references cited by examiner	PRIOR ART	1
08-25-2006	1449	List of References cited by applicant and considered by examiner	PRIOR ART	12
08-25-2006	BIB	Bibliographic Data Sheet	PROSECUTION	1
08-25-2006	SRFW	Search information including classification,	PROSECUTION	1